



DATE : / /

**1. UNIT HOLDER INFORMATION**

**a. EXISTING UNIT HOLDER INFORMATION**

Folio No.

The details in our records under the folio number mentioned alongside will apply for this application.

**b. NAME OF FIRST / SOLE APPLICANT**

Mr. Ms. M/s.

Application Form No.

**2. FIRST/ SOLE APPLICANT OTHER DETAILS (Mandatory)**

**a. Status of First/ Sole Applicant [Please tick (✓)]**  Individual  Non - Individual

Resident Individual  NRI-Repatriation  NRI-Non Repatriation  Partnership  Trust  HUF  AOP  PIO  Company  FIs  Minor through guardian  BOI  OCI  Body Corporate  LLP  Society / Club  FPI  Sole Proprietorship  Non Profit Organisation  Others \_\_\_\_\_ (please specify)

**b. Occupation Details [Please tick (✓)]**  Service  Private Sector  Public Sector  Government Service  Student  Professional  Housewife  Business  Retired  Agriculture  Proprietorship  Others \_\_\_\_\_ (please specify)

**c. Gross Annual Income (Rs.) [Please tick (✓)]**  Below 1 Lakh  1 - 5 Lakhs  5 - 10 Lakhs  10 - 25 Lakhs  >25 Lakhs - 1 Crore  >1 Crore

OR

**c. Net-worth (Mandatory for Non-Individuals)** Rs. \_\_\_\_\_ as on  DD  MM  YYYY (Not older than 1 year)

**d. Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)  I am PEP  I am Related to PEP  Not Applicable

**e. Investors involved/ providing any of the mentioned services**

Wholesalers OR  Retailers in Precious metals (in particular buying-selling gold) and gems  Wholesalers OR  Retailers in Luxury cars  Wholesalers OR  Retailers in Boats  Wholesalers OR  Retailers in Race-horses  Wholesalers OR  Retailers in Jewellery

Money Service Businesses (MSB) & their agents (excluding Banks)  Currency dealers or exchanges  Sellers or redeemers of traveler's cheques  Money orders / remittance services

Pawn shops  Street market stall  Hotels  Restaurants  Internet cafés  Door-to-door sales companies  Taxi  Bars  Night-clubs  Second-hand goods sales  Second-hand vehicle dealers (excluding automobile franchise)

Casinos  Lotteries  Gaming clubs  Slot machines  Antiques  Art galleries  Art dealers  Auctioneer  Art expert

**3. DETAILS OF SECOND APPLICANT, If any**

**a. Occupation Details [Please tick (✓)]**  Service  Private Sector  Public Sector  Government Service  Student  Professional  Housewife  Business

Retired  Agriculture  Proprietorship  Others \_\_\_\_\_ (please specify)

**b. Gross Annual Income (Rs.)**  Below 1 Lakh  1 - 5 Lakhs  5 - 10 Lakhs  10 - 25 Lakhs  >25 Lakhs - 1 Crore  >1 Crore OR Net worth Rs. \_\_\_\_\_

**c. Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)  I am PEP  I am Related to PEP  Not Applicable

**d. Investors involved/ providing any of the mentioned services**

Wholesalers OR  Retailers in Precious metals (in particular buying-selling gold) and gems  Wholesalers OR  Retailers in Luxury cars  Wholesalers OR  Retailers in Boats  Wholesalers OR  Retailers in Race-horses  Wholesalers OR  Retailers in Jewellery

Money Service Businesses (MSB) & their agents (excluding Banks)  Currency dealers or exchanges  Sellers or redeemers of traveler's cheques  Money orders / remittance services

Pawn shops  Street market stall  Hotels  Restaurants  Internet cafés  Door-to-door sales companies  Taxi  Bars  Night-clubs  Second-hand goods sales  Second-hand vehicle dealers (excluding automobile franchise)

Casinos  Lotteries  Gaming clubs  Slot machines  Antiques  Art galleries  Art dealers  Auctioneer  Art expert



**4. DETAILS OF THIRD APPLICANT, If any**

**a. Occupation Details [Please tick (✓)]**  Service  Private Sector  Public Sector  Government Service  Student  Professional  Housewife  Business  
 Retired  Agriculture  Proprietorship  Others \_\_\_\_\_ (please specify)

**b. Gross Annual Income (Rs.)**  Below 1 Lakh  1 - 5 Lakhs  5 - 10 Lakhs  10 - 25 Lakhs  >25 Lakhs - 1 Crore  >1 Crore **OR** Net worth Rs. \_\_\_\_\_

**c. Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)  I am PEP  I am Related to PEP  Not Applicable

**d. Investors involved/ providing any of the mentioned services**

- |  |  |
|--|--|
| <input type="checkbox"/> Wholesalers <b>OR</b> <input type="checkbox"/> Retailers in Precious metals (in particular buying-selling gold) and gems  | <input type="checkbox"/> Wholesalers <b>OR</b> <input type="checkbox"/> Retailers in Luxury cars |
| <input type="checkbox"/> Wholesalers <b>OR</b> <input type="checkbox"/> Retailers in Boats   | <input type="checkbox"/> Wholesalers <b>OR</b> <input type="checkbox"/> Retailers in Race-horses |
| <input type="checkbox"/> Money Service Businesses (MSB) & their agents (excluding Banks)   | <input type="checkbox"/> Currency dealers or exchanges   |
| <input type="checkbox"/> Money orders / remittance services  | <input type="checkbox"/> Sellers or redeemers of traveler's cheques                              |
| <input type="checkbox"/> Pawn shops <input type="checkbox"/> Street market stall <input type="checkbox"/> Hotels <input type="checkbox"/> Restaurants <input type="checkbox"/> Internet cafés <input type="checkbox"/> Door-to-door sales companies <input type="checkbox"/> Taxi <input type="checkbox"/> Bars <input type="checkbox"/> Night-clubs |  |
| <input type="checkbox"/> Second-hand goods sales <input type="checkbox"/> Second-hand vehicle dealers (excluding automobile franchise)   |  |
| <input type="checkbox"/> Casinos <input type="checkbox"/> Lotteries <input type="checkbox"/> Gaming clubs <input type="checkbox"/> Slot machines <input type="checkbox"/> Antiques <input type="checkbox"/> Art galleries <input type="checkbox"/> Art dealers <input type="checkbox"/> Auctioneer <input type="checkbox"/> Art expert               |  |

**DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

\_\_\_\_\_  
First / Sole Applicant / Guardian

\_\_\_\_\_  
Second Applicant

\_\_\_\_\_  
Third Applicant

**INSTRUCTIONS**

**In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details** viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)\* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for all applicants) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

**The said details are mandatory for both Individual and Non Individual applicants.**

\*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.